

Marotta Wealth Management Review



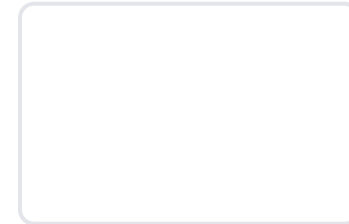
Written by [investor.com](https://www.investor.com)

May 12, 2023

Marotta Wealth Management, registered in 2004, serves 20 state(s) with a licensed staff of 9 advisors. Marotta Wealth Management manages \$524.8 million and provides [investment advisory services](#) for 363 clients (1:40 advisor/client ratio).

Marotta Wealth Management

[Visit Site](#) [434-244-0000](tel:434-244-0000)



Overall



5.0

Year Registered

2004

Disciplinary Alerts

0

Conflict Alerts

1

Is this your firm? [Become a Mission Supporter](#)

Firm information

Summary

Average Client Balance	\$1.4 million
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Assets Under Management	\$524.8 million
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Advisor/Client Ratio	1:40
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Website	Visit Site
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Main Office Address	1000 Ednam Center, Suite 200. Charlottesville, VA 22903-4615
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Registration Jurisdiction	SEC
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Form CRS (Client Relationship Summary)	View Filing
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Trust Algorithm

Our searchable database of U.S.-based financial advisors includes more than [17,000 firms across the country](#). Each month, investor.com downloads the latest regulatory data from the SEC IAPD database. This data is then run through our proprietary [Trust Algorithm](#) to generate a star rating for each firm based on a variety of measures, including disciplinary history and conflicts of interest.

As a Certified B Corporation™, our focus is simple: people before profits. Each month, investor.com serves as a trusted resource to help thousands of Americans research and compare financial advisors.

Disciplinary alerts

 **No alerts identified**

Our system found no disciplinary alerts. Checks take place monthly.

Disclosure

+ Activity Restriction - SRO	No
+ Attorney/Accountant Authorization Revocation	No
+ Business License Revocation - SRO	No
+ Business License Revocation - SEC/CFTC	No
+ Business License Revocation - Other Regulatory Agencies	No
+ Dismissal upon Settlement - Court	No
+ Investment-Related Prohibition - Court	No
+ False Statements or Omissions - SRO	No

Disclosure

+ False Statements or Omissions - SEC/CFTC No

+ False Statements or Omissions - Other Regulatory Agencies No

+ Felony Conviction No

+ Misdemeanor Conviction No

+ Monetary Penalty - SEC/CFTC No

+ Order Entered - SEC/CFTC No

+ Order Entered - Other Regulatory Agencies No

+ Regulation Violations - SRO No

+ Regulation Violations - SEC/CFTC No

Disclosure

+ Regulation Violations - Other Regulatory Agencies No

+ Regulation Violations - Court No

+ Registration/License Revocation - Other Regulatory Agencies No

Conflict alerts

 **Alerts identified (1)**

Our system has identified the following conflict alerts.

Disclosure

+ 12b-1 Conflict Ask Firm

Disclosure

+ Attorney Conflict No

+ Broker-Dealer Conflict No

+ Performance-Based Fees Conflict Ask Firm

+ Commissions Conflict No

+ Insurance Agent Conflict - Affiliation No


+ Insurance Agent Conflict - Firm No

+ Non-Secure Website No

+ Private Investment Management No

+ Proprietary Products No

Disclosure

+ Side-by-Side Management Conflict	Ask Firm
+ Soft-dollar Arrangements	No
— Trades Recommended Securities	Yes 

SEC ADV Part 1 | Item 8.A.2

What does this mean and why is it important?

Firm or a related person trades securities for themselves that they also recommend to their clients.

While this can be seen as "eating your own cooking," there are conflicts that may arise. For example, front-running is when a financial professional buys or sell securities ahead of their client. Financial professionals should disclose all positions they hold (or have sold short) that they will also be recommending to their clients.

Questions to ask

Which securities does your firm trade for itself that it will also be recommending to me?

FAQs

What fees does Marotta Wealth Management charge?

Financial advisory firms charge fees in different ways, such as a percentage of your assets (aka AUM, or assets under management), an hourly fee, or a fee for a specific service. Find out what fees Marotta Wealth Management charges by checking out its Form CRS (“Customer Relationship Summary”), which lists the company’s specific prices. [View Filing](#). To learn more about different types of pricing models, see our article on [how much a financial advisor costs](#).

What services can Marotta Wealth Management provide?

As a financial advisory firm, Marotta Wealth Management can provide a variety of financial planning services for Americans. Financial advisors help you achieve your life goals, such as saving for retirement, by creating a comprehensive financial plan and managing your investment portfolio (e.g., stocks, ETFs, mutual funds, bonds).

Financial planning services can include tax planning, estate planning, [retirement](#)

[planning](#), or life-based event planning such as saving for college, getting married, purchasing a home, paying down debt, or planning an inheritance. For further detail, see our articles on different types of advisors and [financial advisor services](#).

Where is Marotta Wealth Management headquartered? Which states do its financial advisors serve?

The headquarters of Marotta Wealth Management is 1000 Ednam Center, Suite 200. Charlottesville, VA 22903-4615. Marotta Wealth Management currently serves clients in the following states: CA, CO, DC, FL, GA, IL, MD, MA, MN, NH, NJ, NY, NC, PA, TN, TX, UT, VA, WA, WI.

What is the average client balance at Marotta Wealth Management?

While Marotta Wealth Management advises clients across a variety of portfolio sizes, the average client balance is currently \$1.4 million. In total, Marotta Wealth Management manages \$524.8 million in assets.

What is the historical performance of Marotta

Wealth Management?

Neither the SEC nor FINRA tracks portfolio performance metrics for the financial planning industry. As a result, unlike hedge funds, there is no historical performance for any financial advisory firm. Financial plans and investment portfolios are always unique to the client's personal financial situation.

Can I write a review of Marotta Wealth Management or file a complaint?

Investor.com relies on regulatory data from the SEC to rate and review financial advisor firms. As a result, we do not support personal reviews on the site. To file a complaint or dispute with this firm, please fill out the [SEC Investor Complaint Form](#).

Firm locations

Office Locations

1000 Ednam Center, Suite 200. Charlottesville, VA 22903-4615