

Ten Questions to Ask a Financial Advisor

QUESTIONS:	YES OR NO	COMMENTS:
1. Do you use a recognized third-party custodian to hold your client's assets?		
2. Is there a good chance my investments will lose money?		
3. Is the daily price of everything you invest in listed in the Wall Street Journal?		
4. Do you avoid hedging or buying options? Does everything you invest in trend upward?		
5. Could you teach me to implement your investment strategy and let me do it on my own?		
6. After selecting your investment approach, could I change my mind at any time, immediately recoup everything left of my investment and have no financial hooks to keep me from dropping your approach?		
7. Do you report a client-specific time-weighted return each quarter?		
8. Do you live a frugal lifestyle?		
9. Is the fee I pay you the only compensation you receive?		
10. Do you sign a fiduciary oath?		